PEST AVAILABLE COPY

AUG 2 1 2006 Patent Case No.: 56233US002

32692 Customer Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

ALLEN, RICHARD C.

Application No.:

09/965417

Confirmation No.:

3811

Filed:

September 27, 2001

Title:

METHODS OF MAKING POLARIZATION ROTATORS AND ARTICLES

CONTAINING THE POLARIZATION ROTATORS

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION 137 C	R §	1.8	3(a)
--	-----	-----	------

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

🛮 transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Fees:

	Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.
One copy	of this sheet marked duplicate is also enclosed.
	Any required fee will be paid at the time of EFS-Web submission.

Application No.: 09/965417

Case No.: 56233US002

☑ If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

Lugust 21, 2006

Anna A. Kobilansky, Reg. Mo.:

Telephone No.: 651-737-8345

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

TEST AVAILABLE COPY

2006-Aug-21 02:28 PM 3m 6517339204

Substitute for form 1449A/PTO (modified)	Application Number	09/965417	
INFORMATION DISCLOSURE	Filing Date	September 27, 2001	
STATEMENT BY APPLICANT	First Named Inventor	Allen, Richard C.	=#. 1 = #
(Use as many sheets as necessary)	Confirmation Number	3811 REC	SIVED AX GENTER
Page 1 of 1	Attorney Case Number	56233US002	

			U.S. Patent	Documents		
Exam. Init.*	Cite No.	Document Number	Publication Date	Name of Patentee	Pages, Columns, Lines, Wher Relevant Passages or Relevan	
		Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear	
	A1	US- 6,002,460	12/1999	Yamamoto		
	A2	US- 6,583,833	06/2003	Kashima		
	А3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-				
	A11	US-				
	A12	US-				
			Foreign Pate	nt Documents		

	Foreign Patent Documents						
Exam.	Cite No.	Foreign Patent Document		Publication Date		Pages, Columns, Lines, Where Relevant Passages	Translation
Init."		Ctry. Code	Number-KindCode (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
	B1						
	B2						
	В3						
	B4						
	B5						
	B6						
	B7						

	OTHER DOCUMENTS				
Exam. Init*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)		
	C1				
	C2				
	СЗ				

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation conformance and not considered. Include copy of this form with n	is in conformance with MPEP 609. Draw line through citation if not in ext communication to applicant.

Information Disclosure Statement)